00862.022392.1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A | Application of: |) | D ' W 1 ' Y Y | | |
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| FUMI | TAKA TOYOMURA, et al. | ; | Examiner: Younghuie J. Han | | |
| Applic | cation No.: 10/760,353 | ; | Group Art Unit: 2838 | | |
| Filed: | January 21, 2004 | ;) | | | |
| For: | POWER CONVERTING APPARATUS AND POWER GENERATING APPARATUS | ;) ;) | October 4, 2006 | | |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of documents (2) and (3) are enclosed.

- (1) U.S. Patent No. 4,656,571
- (2) EP 718952
- (3) JP 09-054623

Document (1) to (3) were cited during prosecution of a European patent application corresponding to the above U.S. application. A copy of the European Office Action, which is dated August 29, 2006, is enclosed. In view of this date, it is hereby certified under 37 C.F.R. § 1.97(e) that each document in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

An English language abstract accompanies document (3). The concise explanation of relevance for non-English language document (3) is believed to be satisfied by the enclosed English language abstract. See MPEP § 609.

The European Search Report also cites document EP 942519 which was previously cited in the Information Disclosure Statement dated January 20, 2004, and which is therefore not being cited again.

The Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449 and returning the initialed form to Applicants with the next communication.

Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

John D. Magluyan Attorney for Applicants Registration No.: 56,867

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| LIST | OF REFERENCES CITED BY OUT OF SHORE OF | IMERCE OFFICE APPLICANT(S) | APPLICANT FUMITAKA TOYOMURA, et al. | APPLICANT | | | | | |
| 3 oc | (Use several sheets if nece | ssary) | FILING DATE January 21, 2004 | | GROUP 2838 | | | | |
| 1878 | DOCUMENT | | U.S. PATENT DOCUMENTS | | | | | | |
| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME , | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | |
| | 4,656,571 | 04/1987 | Umezu | 363 | 37 | | | | |
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| | | | FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT | | | |
| : | 718952 | 06/1996 | Europe | | | | | | |
| | 09-054623 | 02/1997 | Japan | | | Abstract | | | |
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| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | |
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| or this form with next communication to applicant. | |

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